

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
**John R. Kunz et al.**

: Group Art Unit: **unknown**

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: Examiner: **unknown**

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: Date: February 1, 2004

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Serial No.: **to be assigned**

Filing Date: **herewith**

For: **WINDOW SASH COUNTERBALANCE AND POSITION LOCKING SYSTEM FOR  
A TILT-IN WINDOW:**

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Assistant Commissioner of  
Patents and Trademarks  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. § 1.56, applicant wishes to call the attention of the Examiner to the following references:

<u><b>U.S. Patent No.:</b></u>	<u><b>Patentee:</b></u>	<u><b>Issue Date:</b></u>
6,378,169	Batten et al.	April 30, 2002
5,463,793	Westfall	November 7, 1995
5,353,548	Westfall	October 11, 1994

Copies of these references are submitted herewith along with form PTO-1449.

The listed references relate to window balance systems. The relevance of these patents is discussed in the above-referenced patent application. References provided that are not cited in the application are cited for the purposes of background information only. The citation of

these patents does not constitute an admission that these references are relevant or material to the claims; they are cited only as constituting the closest art of which the applicant is aware.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Eric A. LaMorte', with a long horizontal flourish extending to the right.

Eric A. LaMorte, Esq.  
Reg. No. 34,653  
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Date: 9/1/04

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Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No. JEVANS-4

Serial No.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant  
**JOHN R. KUNZ ET AL.**Filing Date  
Herewith

Group

## U. S. PATENT DOCUMENTS

*Examiner Initial		Document Number							Date	Name	Class	Sub-class	Filing Date If Appropriate
	AA	6	3	7	8	1	6	9	04/30/02	Batten et al.	16	197	
	AB	5	4	6	3	7	9	3	11/07/95	Westfall	16	197	
	AC	5	3	5	3	5	4	8	10/11/94	Westfall	49	446	
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

## FOREIGN PATENT DOCUMENTS

*Examiner Initial		Document Number							Date	Name	Class	Sub-class	Translation	
													Yes	No
	AL													
	AM													
	AN													
	AO													
	AP													

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Page, Etc.)

	AR		
	AS		
	AT		

Examiner

Date Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.